

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/716,971  
Applicant : Adams, et al.  
Confirmation No. : 7564  
Filed : November 18, 2003  
TC/A.U. : 1773  
Examiner : Le, Hoat  
Docket No. : 130924.62111  
Customer No. : 56,466

**RECEIVED  
CENTRAL FAX CENTER  
MAR 06 2006**

TITLE: SURFACE MODIFIED SEMICONDUCTIVE AND METALLIC  
NANOPARTICLES HAVING ENHANCED DISPERSIBILITY IN AQUEOUS MEDIA

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor.

The Assignee hereby revokes any previous Powers of Attorney and appoint the attorneys and agents associated with Customer Number 56,466, for so long as they remain with such company or firm, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to transact all business in the Patent

PT: #253767 v1 (SFT3011.DOC)


and Trademark Office in connection therewith, to receive the Letters Patent document, and for one year after the issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Invitrogen Corporation, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all future communications regarding this application as follows:

Customer Number 56466  
Pepper Hamilton LLP  
One Mellon Bank Center  
500 Grant Street  
Pittsburgh, PA 15219-2502

ASSIGNEE: Invitrogen Corporation

By:   
Name: Christopher J. Buntel, Ph.D., J.D.  
Title: Intellectual Property In-House Counsel  
Date: March 6, 2006

ASSIGNMENT:

☐ Concurrently filed  
☒ Previously recorded  
Date: Dec. 7, 2005  
Reel: 016862  
Frames: 0372

Docket No. 130924.62111

STATEMENT UNDER 37 C.F.R. 3.73(B)

Applicant/Patent Owner: Adams, et al.  
Application No./Patent No.: 10/716,971  
Filed/Issue Date: November 18, 2003  
Entitled: SURFACE MODIFIED SEMICONDUCTIVE AND METALLIC  
NANOPARTICLES HAVING ENHANCED DISPERSIBILITY  
IN AQUEOUS MEDIA

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Invitrogen Corporation (name of assignee), a corporation (type of organization), states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either.

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: Adams, et al. To: Quantum Dot Corporation

The document was recorded in the Patent and Trademark Office at Reel 011739,  
Frame 0961.

2. From: Quantum Dot Corporation To: Invitrogen Corp.

The document was recorded in the Patent and Trademark Office at Reel 016862,  
Frame 0372, or for which a copy thereof is attached.

PT: #253765 v1 (SFT1011.DOC)


Docket No. 130924.62111

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e. the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO.  
See MPEP 302-302.6]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Signature:



Typed or printed name:

Christopher J. Buntel, Ph.D., J.D.

Title:

Intellectual Property In-House Counsel

Date:

March 6, 2006